

04/23/01  
JC885 U.S. PTO

Docket No.: 114953.402US2

04/23/01  
JC885 U.S. PTO

Assistant Commissioner for  
Patents and Trademarks  
Washington, D.C. 20231

## NEW APPLICATION TRANSMITTAL

Dear Sir:

Transmitted herewith for filing is the utility patent application of **Inventors:**

(1) Irah H. Donner;

**Entitled:** COMPUTER ASSISTED METHOD OF PERFORMING INTELLECTUAL PROPERTY (IP) AUDIT OPTIONALLY OVER NETWORK ARCHITECHTURE:

**Enclosed are:**

**1. Papers Required For Filing Date Under 37 C.F.R. § 1.53(b):**

27 Page(s) for Specification  
7 Page(s) for Claim(s)  
1 Page(s) for Abstract  
8 Sheet(s) of **formal** drawings.

**2. Declaration/Power of Attorney**

       Newly executed (original or copy)  
X Copy from prior application (continuation/divisional applications)

**3. Assignment**

       An assignment with Recordation Form Cover Sheet of the invention. This application is assigned to .

**4. Microfiche Computer Program Appendix**

       Sheet(s) of microfiche.

**5. Nucleotide and/or Amino Acid Sequence Submission**

       Computer readable copy.  
       Paper Copy (identical to computer copy).  
       Statement verifying identity of above copies.

Statement of Reliance on Sequence Listing and Computer Readable Form of Sequence Listing in U.S. patent application number(s) \_\_\_\_\_, filed \_\_\_\_\_, incorporated herein by reference in its/their entirety.

## 6. Small Entity Statement

- Applicant(s) hereby claim "small entity" status under 37 C.F.R. § 1.9 and § 1.27.  
 A verified statement that this is a filing by a "small entity" under 37 C.F.R. § 1.9 and § 1.27 is enclosed.  
 A verified statement that this is a filing by a "small entity" under 37 C.F.R. § 1.9 and § 1.27 was filed in a prior application, copy attached. Status still proper.

## 7. Claim for Priority Under 35 U.S.C. § 120

The benefit under 35 U.S.C. § 120 is hereby claimed.

Please amend the specification as follows:

After the title of the invention, insert the following:

- "This application claims priority to, and is a **divisional** application of, U.S. application number(s) \_\_\_\_\_, filed \_\_\_\_\_, incorporated herein by reference, in its/their entirety."  
 "This application claims priority to, and is a **continuation** application of, U.S. application number(s) \_\_\_\_\_, filed \_\_\_\_\_, incorporated herein by reference, in its/their entirety."  
 "This application claims priority to, and is a **continuation-in-part** application of, U.S. application number(s) \_\_\_\_\_, filed \_\_\_\_\_, incorporated herein by reference, in its/their entirety."

## 8. Claim for Priority Under 35 U.S.C. § 119

The benefit of priority under 35 U.S.C. § 119 is hereby claimed.

Certified copy(ies) of the below-identified **foreign/PCT** application(s) enclosed.

Certified copy(ies) of the below-identified **foreign/PCT** application(s) will follow.

Please amend the specification as follows:

After the title of the invention, insert the following:

- "This application claims priority to **U.S. provisional** application number(s) \_\_\_\_\_, filed \_\_\_\_\_, incorporated herein by reference, in its/their entirety."

- "This application claims priority to **foreign** application number(s) \_\_\_\_\_, filed , incorporated herein by reference, in its/their entirety."
- "This application claims priority to **International PCT** application number(s) \_\_\_\_\_, filed \_\_\_\_\_, incorporated herein by reference, in its/their entirety.
- "The **International PCT** application **was/was not** published in the English language under PCT Article 21(2)."

## 9. Fee Calculation

| <u>Claims Filed</u>         | <u>Number Extra</u> | <u>Rate</u>                          | <u>Basic Fee</u>   |
|-----------------------------|---------------------|--------------------------------------|--------------------|
| Total Claims 27             | - 20 = 7            | X \$ 18.00                           | \$710.00<br>126.00 |
| Independent Claims 3        | - 3 = 0             | X \$ 80.00                           |                    |
| Multiple dependent claim(s) |                     |                                      | \$260.00           |
|                             |                     | Small Entity Discount (50% of above) | (\$418.00)         |
|                             |                     | Filing Fee Calculation               | \$418.00           |

- Preliminary Amendment canceling/adding extra claims enclosed, and included in above fee calculation.
- Preliminary Amendment deleting multiple dependencies enclosed.

## 10. Fee Payment

|  |                 |
|--|-----------------|
| <input checked="" type="checkbox"/> Filing fee calculation above               | \$418.00        |
| <input type="checkbox"/> Recording assignment (37 C.F.R. § 1.21(h)(1)-\$40.00) | \$0.00          |
| <b>TOTAL FEES</b>  | <b>\$418.00</b> |

## 11. Method of Payment of Fees

- Not Enclosed. No filing fee is to be paid at this time.
- Enclosed. Check in amount: \$\_\_\_\_\_
- Enclosed. Charge Deposit Account No. 08-0219 amount: \$418.00

## 12. Patent Application Publication-Related Requests (Not for Reissue or Design)

- Applicant hereby requests under 37 C.F.R. § 1.84(j) that Figure \_\_\_\_\_ be included on the front page of the patent application publication and patent.
- Request for non-publication under 35 U.S.C. § 122(b) is enclosed.

**13. Other Enclosures:**

- Preliminary Amendment.
- Information Disclosure Statement
- Application Data Sheet
- Other: Terminal Disclaimer

**14. Authorization to Credit Overpayment or Charge Additional Fees**

- The Commissioner is hereby authorized to credit any overpayment, or charge fees under 37 C.F.R. § 1.16 or 37 C.F.R. § 1.17 which may be required, to Deposit Account No. 08-0219. A duplicate copy of this Transmittal Letter is attached for accounting purposes.

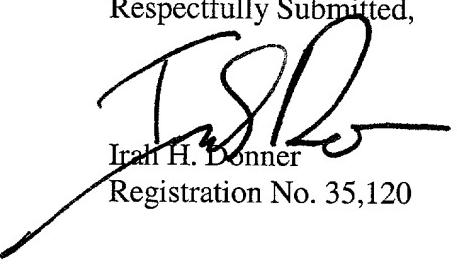
**15. Conditional Petition For Extensions of Time**

- Petition is hereby made under 37 C.F.R. § 1.136(a) for any extension of time required to ensure that any papers due in the U.S. Patent and Trademark Office during the pendency of this application are timely filed. Please charge any fees due for any extensions of time to Deposit Account No. 08-0219. A duplicate copy of this Transmittal Letter is attached for accounting purposes.

**16. Correspondence Address:**

Hale and Dorr LLP  
1455 Pennsylvania Avenue, N.W.  
Washington, D.C. 20004  
Telephone: (202) 942-8400

Respectfully Submitted,



Ira H. Donner

Registration No. 35,120

Date:

4/23/01

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of :  
Irah H. Donner :  
Serial No. : Group Art Unit:  
Filed: 30 March 2001 : Examiner:

For: METHOD OF PERFORMING INTELLECTUAL PROPERTY (IP) AUDIT  
OPTIONALLY OVER NETWORK ARCHITECHTURE

114953 U.S. PRO  
09/839301  
04/23/01

**REQUEST FOR NON-PUBLICATION UNDER 35 U.S.C. 1.22(b)**

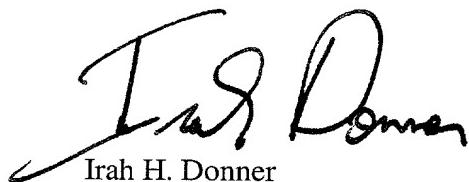
Commissioner of Patents and Trademarks  
Washington, D.C. 20231

Sir:

Applicant respectfully requests that the application as filed herein be withheld from publication under 35 U.S.C. 122(b). I hereby certify to the best of my knowledge that this invention has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing.

Respectfully submitted,

HALE AND DORR LLP



Irah H. Donner  
Registration No. 35,120

1455 Pennsylvania Avenue, NW  
Washington, D.C. 20004  
TEL 202.942.8585  
FAX 202.942.8484  
Date: 4/2/01

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

JCE95 U.S. PRO  
09/839301  
04/23/01



In re Application of :  
Irah H. Donner :  
Serial No. : Group Art Unit  
Filed: : Examiner:

For: **METHOD OF PERFORMING INTELLECTUAL PROPERTY (IP) AUDIT  
OPTIONALLY OVER NETWORK ARCHITECTURE**

**TERMINAL DISCLAIMER PURSUANT TO 37 CFR § 1.321(b)**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

Irah Donner, (hereinafter referred to as Applicant) represents that he is the exclusive owner of the entire right, title and interest in and to the above-referenced U.S. Application, entitled **METHOD OF PERFORMING INTELLECTUAL PROPERTY (IP) AUDIT  
OPTIONALLY OVER NETWORK ARCHITECTURE** filed on 05 April 2001 (hereinafter referred to as the Application).

Applicant further represents that he is the sole inventor/owner of the entire right, title and interest in and to United States Patent No. 5,999,907, issued 07 December 1999, and to United States Patent No. 6,154,725, issued 28 November 2000, and to U.S. Application No. 09/518,681, filed 03 March 2000. No Assignments have been executed.

Applicant hereby disclaims the terminal part of any patent granted on said above-referenced U.S. Application, which would extend beyond the expiration date of any patent which issue from U.S. Patent Nos. 5,999,907, 6,154,725 and U.S. Application No. 09/518,681.

Your Petitioner further agrees that any patent issuing on said above-referenced U.S. Patent Application shall be enforceable only for and during such period that the legal title to said patent shall be the same as the legal title to any U.S. Patent which issues from U.S. Patent Nos. 5,999,907, 6,154,725 and U.S. Application No. 09/518,681, this agreement to run with any patent granted on said above-referenced U.S. Patent Application and to be binding upon the grantee, its successor or assigns.

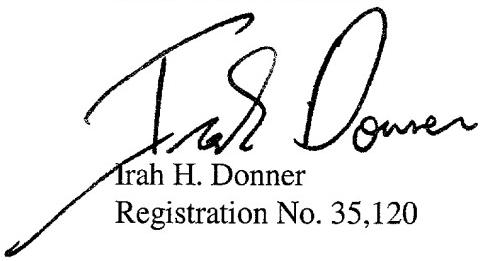
In making the above disclaimer, petitioner does not disclaim any terminal part of any patent granted on the instant application that would extend to the expiration date of the full statutory term as defined in 35 U.S.C. 154 to 156 and 173 of the prior patent, as presently shortened by any terminal disclaimer, in the event that it later: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321, has all claims canceled by a reexamination certificate, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer.

The undersigned Attorney of Record further declares that all statements made herein of its own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Please charge the \$55.00 Disclaimer fee to Deposit Account Number 08-0219.

Respectfully submitted,

HALE AND DORR LLP



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Date: 4/23/01

IHD/cgm